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Tuesday, 14 January 1947 INTERNATIONAL MILITARY TRIBUNAL FOR THE FAR EAST

Court House of the Tribunal

War Ministry Building

Tokyo, Japan 6 7 The Tribunal met, pursuant to adjournment, 8 9 at 0930. 10 11 Appearances: For the Tribunal, same as before with 12 the exception of: HONORABLE JUSTICE JU-AO MEI, 13 Member from the Republic of China, not sitting. 14 For the Prosecution Section, same as before. 15 16 For the Defense Section, same as before. 17 18 (English to Japanese and Japanese 19 to English interpretation was made by the 20 Language Section, IMTFE.) 21 22

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MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now in session.

THE PRESIDENT: All the accused are present except OKAWA, ARAKI, MATSUI, and TOGO who are represented by their counsel. We have a certificate from the prison surgeon of Sugamo Prison stating that ARAKI, MATSUI, and TOGO are unable to attend the trial today on account of illness.

Captain Robinson.

CAPTAIN ROBINSON: Mr. President, and Members of the Tribunal, if it please the Court, Commander Cole will examine the next witness.

THE PRESIDENT: Commander Cole.

COMMANDER COLE: If it please the Tribunal, may the witness John Alexander McDougail be called to the witness stand.

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ALEXANDER McDOUGALL, a JOHN witness called in behalf of the prosecution, being first duly sworn, testified as follows: DIRECT EXAMINATION BY COMMANDER COLE: Will you state your full name, present home address, and occupation? John Alexander McDougall, 2400 Haste Street, A Berkley, California. I am employed by a printing firm in Oakland, California. How old are you? Twanty-one. A Were you a prisoner of the Japanese? Q Yes. On what date? July 2, 1944. A What was your occupation on July 2, 1944? Q I was an Able Bodied Seaman in the Merchant 18 A 19 Marine. What ship were you signed on on that date? 20 Q The S.S. Jean Nicolet. 21 A From what country was this ship? 22 Q She left -- loaded in San Pedro, California, 23 left for Fremantle with 37 days to Fremantle. 24 What was the port of destination of the 25

Jean Nicolet?

A cCalcutta, India, and stop for orders in Ceylon.

Q Where was the Jean Nicolet on July 2, 1944?

A We were ten days out of Fremantle, Australia, headed 357, three days south of Ceylon, Columbia.

Q How many passengers and crew were on board the Jean Nicolet on that date?

A There was exactly a hundred all told.

Q Will you describe the circumstances under which you were made a prisoner by the Japanese?

A Well, I was out on deck, seven o'clock in the evening. I had my cot out there. I was going inside to get a pillow. I stopped by the mess hall, looked in at a poker game. It was seven. I left the mess hall about six minutes after seven and walked around to my forecastle and was just stepping in when the first torpedo struck. The first torpedo hit between the 2 and 3 hold. The torpedo struck, I was thrown back against the bulkhead and I hung onto a rail to stand up.

The ship took a lurch to port and listed back to starboard. General quarters was ringing so I turned around and ran down the companion way, threw

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open the blackout door to the boat deck, and crossed over to my boat station on the forward port. I went to my boat station which was the forward boat on the port side, No. 2. My job was to tend the forward fall on boat 2. We got things ready and the captain came out to the wing of the bridge. When the torpedo struck it blew No. 3 hatch covers off and a fire started. Just then the second torpedo struck on No. 5 hold, starboard side. So the captain gave orders to abandon ship.

We started lowering away. We lowered away and all the boats got away safely, passengers and crew. There was one broken arm getting off the ship. An army lieutenant fell in one of the boats and broke his arm.

So, after the boats left, it left six of us on deck: George Kenmore Hess A.B., Lieutenant Deal, Naval Gunnery Officer, two Navy gunners, and the Captain and myself. Hess and I went below to our forecastle which was full of water. I got a pair of pants, a shirt, and my life jacket. Hess took a knife, two knives, and I also secured a knife.

We went back on deck and the six of us gathered on the forward part of the boat deck. We decided to take No. 2 raft forward. I went down and

checked the sea painter and let the raft go. I was the first one on the raft, the two navy gunners were second and third, and then the captain and Lieutenant Deal decided to check the ship again to see if there was anyone left on board. After they came back Hess helped the lieutenant get on the raft, then he came down, and the captain was the last to leave the ship. When we were all on board we cut the sea painter and drifted astern.

We sighted a man in the water and we picked him up. Then we sighted another raft with one man on board. We rowed over and lashed the two rafts together. Shortly after this a motor launch came up, No. 4 boat, with the chief mate in charge. The captain went on board and took command. He thought he had seen a light on the ship and he wanted to go back and check again to see if anyone was on board. The mate told us to stay around until morning when we could all get together. He gave us the course by the stars to the closest land just in case.

The motor launch started to return to the ship. When she was about half way there the sub surfaced on the starboard side and was pointing their deck gun at the ship. They cut the motor in the life boat for fear the sub would pick them up and

they rowed back to where we were. They pulled alongside our raft and we held onto each other and were talking, and shortly after that the sub came up on the port side and started cruising over towards us.

As soon as we spotted the submarine, the chief mate told the fellows to throw away their white hats and skivvy shirts and lay down in the life boat and raft. Well, we let go, we layed down in the raft and the boat drifted away. And the sub came right up and spotted the boat lights on them and they hollered, "What ship; what ship?" The chief mate stood up in the life boat and hollered back, "SS Jean Nicolet." I don't think they could hear the chief mate because they got kind of mad then. They told them to pull alongside or else they would shoot them and they said, "No monkey business."

When they pulled alongside of our life raft
we got off the raft and got on the opposite side of
the raft and got in the water. Well, when the boat
pulled alongside, one man jumped out of the boat and
the chief mate told him to get back in. He was a
messman, William Musser, seventeen years old. The
men in the boat said the Japanese told him to come on
board first and they lashed his hands -- didn't take
his life jacket off -- they lashed his hands behind

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his back, took him to the opposite side of the submarine, and shot him in the back of the head three

THE PRESIDENT: Witness, will you speak slowly, please. We are having some difficulty in hearing you, or rather in understanding you. We can hear you all right but we don't quite understand what you are saying.

(Continuing) We couldn't see what was happening but, as I said, we were behind the life raft, but the next thing we heard was machine gun fire. I looked around the end of the raft and the sub went astern, swung around, and came around toward us. So as soon as they put a light on us we got back on board the raft and when they pulled alongside they threw a line to us. Hess was the first man on board and I was second. They would only let us come on board one at a time. That was midship by the conning tower on the port side. They took me on board; they pulled me underneath this rail there. I stood up and put my hands up and they told me to take off my life jacket. I had tied my jacket in slip knots. I pulled the bottom undone and the top one had slipped into a knot and I couldn't see how to get it undone. One of the Japanese took a knife and swung and cut it right off me, cut this line in two.

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(Continuing) I threw my jacket down on deck and I had my hands up and one of the Japanese spotted my watch. He pulled my hand down, tore my watch off my hand; then he saw my ring on my left hand. He pulled that down and tried to take the ring off but it was pretty tight. It wouldn't come off. He took his knife out and I guess he was going to cut my finger off. I told him to wait a second; I took it off and gave him my ring. Then they searched my pockets and they took my knife and they took my hands and lashed them behind me. Then they led me from the port side in front of the conning tower over the starboard side and up the starboard side of the gun and made me sit down on the deck with my --

Were all the survivors of the Jean Nicolet taken on board the submarine?

A It was all but three.

Q What happened to those three?

They were trying to swim away in the shadows. The Japanese spotted them and machine gunned them, but they didn't hit any of them. Later they made one of the rafts that wasn't sunk. After they led us forward they made us sit with our legs crossed and our chins on our chest. They told us to sit still and to keep our chins on our chest. They said if we

moved it would be too bad.

Hess was sitting on one side of me and just forward of me was the captain and chief mate. After they had taken us all on board they machine gunned our raft and sunk it. Then they got under way and started picking up the rest of the survivors. After they would take all the men out of the boat they would machine gun the boat or raft and sink it.

Q Will you describe the submarine which torpedoed the S.S. Joan Nicolet?

A It was easily 250 feet long, with double barreled deck guns, five inch, had two or three 20 millimeters on the conning tower.

THE PRESIDENT: Is there some good reason for describing it in detail? It had a Japanese crew.

COMMANDER COLE: If it please the Tribunal, there was one point I wished to bring out about the submarine.

A (Continued) It had a long raft on the fantail and did an easy twenty knots on the surface.

Q What was the nationality of this submarine?

A It was Japanese. We were then cruising around the surface. They took us back to the ship on the starboard side about, oh, half a mile or so away.

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The Japanese officer then came out on deck. He had a sword. He was swinging it over our heads and the gun crew showed up and they broke the gun out and he was giving orders to load and fire, I guess. I couldn't understand him. He then made us watch our ship. They told us to look at it and they fired three rounds of ammunition into it.

Q' Did any of the Japanese seamen say anything to you at this time?

Well, I was whispering to my friend Hess. One came up behind me and started beating me over the head with a pipe, and he beat the rest of the fellows close by me because I was talking. He told me to shut up and he sat down on some bitts by us. He told us we were fools for letting President Roosevelt lead us into war. None of us answered him and then he said that we shouldn't have come to the Indian Ocean because they sank every ship coming through there. He also told us that we had a nice battle and then a little while later the captain was talking to the chief mate. He said he thought he would give himself up. They had been asking for him and the radio operators; and, hearsay, they also said -- some of the fellows said -- they heard him asking for a man who had been a Japanese

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prisoner before. He was a passenger on our ship. This man's name was Alvin T. Parker; and the captain said he thought he would give himself up and see if he could get a settlement for the crew. chief mate turned around to one of the Japanese guards and told him that. He says, "This is the captain and I am the chief officer." I guess the man didn't understand him because he went and got another seaman and came back with him and the mate told him the same thing. So they jerked the chief mate to his feet and they tried to lift the captain up but he stumbled and fell down. I think they kicked him or something. They pulled him back to his feet. The man who was speaking said, "Oh, you are the Finnish skipper of that ship." They took them, took the captain and the mate. The second mate and the third mate stood up and the radio operator They also took them down to the conning tower. We never saw them again. Well, then, during that time that we had been captured they checked our bounds two or three times. They found a few of the men had been able to get theirs a little loose. Some of them had been able to get free altogether. Well, the men they caught had been loose and free they beat pretty well with pipes and lashed them back up tighter.

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Then they started taking the men. They started up the bow taking them by rows, one at a time. They marched them down the port side of the sub back to the conning tower there. A ship's carpenter told me later he had a peek back there and saw them take one man named Kine. He was an 18-year old ordinary seaman. Two of the seamen held him up while another one ran him through once or twice with a bayonet. Then they pushed him over the side.

Q How long were you a prisoner on the deck of the submarine?

A Approximately four hours.

Q What happened at the end of that time?

sudden a horn blew -- I think it was a horn -- and the Japs started running back out towards the conning tower. All of us knew what that meant so we jumped up. My friend Hess, he had been a ble to -- he had been scratching his bonds with his fingernail for four hours. He told me he had them pretty well worn. He said he could break them. So he tried. So he broke his bonds and started untying me and one of he navy gunners there -- he had two pair of pants. He had a knife in his inside pair in his pocket ch they didn't find; so Hoss tore his pants off and

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Q What happened at the end of that time?

A We were just cruising along and all of a sudden a horn blew -- I think it was a horn -- and the Japs started running back out towards the conning tower. All of us knew what that meant so we jumped up. My friend Hess, he had been able to -- he had been scratching his bounds with his fingernail for four hours. He told me he had them pretty well worn. He said he could break them. So he tried. So he broke his bounds and started untying me and one of the navy gunners there -- he had two pair of pants on. He had a knife in his inside pair in his pocket which they didn't find; so Hess tore his pants off and

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got this knife and cut him loose and cut myself -well, he cut myself and two or three other fellows and then he was kneeling down, I think untying one fellow, and he gave me the knife, and I cut two or three fellows. By that time the bow was pretty well under water. Well, by that time the bow was under water, and we got knocked down. The fellows who were still tied, they lost their balance and they just got washed off the side. So we stood up, and we jumped as far as we could away from the sub to get clear of the propellers. When I came to the surface I called for Hess; he called back. A conning tower was just going by, just going down, so we could see the ship burning on the horizon. So we started, got together and took our shirts and pants off, threw them away and we started swimming and we -- At that time we didn't see anyone else though we heard some fellows hollering; so we told them to swim toward the ship; we would meet there. Hess and I, we swam all that 18 night. Early the next morning we were fairly close 19 to the ship there, and the sub surfaced again; and 20 during the night -- well, they made the sub submerge. 21 There was a PBY flew over about fifteen minutes after we got off the sub. We swam all that night. Early 23 the next morning the sub came to the surface, looked 25

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got this knife and cut him loose and cut myself -well, he cut myself and two or three other fellows and then he was kneeling down, I think untying one fellow, and he gave me the knife and I cut two or three fellows. By that time the bow was pretty well under water. Well, by that time the bow was under water and we got knocked down. The fellows who were still tied, they lost their balance and they just got washed off the side. So we stood up and we jumped as far as we could away from the sub to get clear of the propellers. When I came to the surface I called for Hess; he called back. A conning tower was just going by, just going down so we could see the ship burning on the horizon. So we started, got together and took our shirts and pants off, threw them away and we started symming and we - At that time we didn't see anyone clse though we hard some fellows hollering; so/we told them to swim toward the ship; we would meet there. Hess and I, we swam all that night. Early the next morning we were fairly close to the ship there and we subsurfaced again; and

during the night -- well, they made the sub submerge.

There was a PBY flew over about fifteen minutes after

we got off the sub. We swam all that night. Early

the next morning the sub came to the surface, looked

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around with their searchlights, fired a few pistol shots. We couldn't see what they were firing at.

We just lay still in the water. After firing the shots, altogether she stayed on the surface about fifteen minutes, a half an hour, she cruised off and submerged again. Well, after she went away we started swimming again and we made the ship, climbed up the nets. The ship had been burning all night. Everything -- the guns were melted. It was pretty badly damaged.

When we got up the boat deck Hess spotted a life ring up on the wing of the bridge so he jumped off the nets, took three or four steps across the deck of the ship, and burned the bottom of his feet off. He managed to get the ring and he threw it back to me. He was so tired he couldn't jump or anything; he had to go back down the net so he ran back across the deck. We got back in the water and we were hanging on to that when an hour or so later Lloyd B. Ruth, the wiper, eighteen years old, he came swimming up.

G a He told us he was going to swim back out -- nothing on the fantail that burned, so it would be pretty cool back there, and the stern was under water. I tried to go with him, but I couldn't make it. He made it back there to the fantail, and he went on board, and he got a small navy raft, and he found a canteen of water. After he got the raft he got back in the water, and so we got together and we got on the raft with him.

A short time after that the ship sunk, and there was one big raft left on the ship that had been jammed in the hangar when the torpedo hit; so, when the ship sunk, it floated to the surface, and we got that. Then the PBY came back. She circled around us; dropped three life jackets. Ruth and I -- we swam out and got two of them. The one I got, it had a flare kit. And there was a note and a thermos bottle of water and some bread. The note told us to drop our sea anchor, hold our position. "Good luck. Royal Canadian Air Force."

After you got on this life raft, did you pick up any more survivors of the Jean Nicolet?

Yes. A short time later Bill Flury and Harold Lee came swimming up.

Were any of these survivors among those who had been led aft the cunning tower on the submarine?

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A Yes. When Harold Lee came swimming up, the back of his head was full of blood. When I asked him what was wrong he said when he was taken back aft there were three or four Japanese lined up against the taffrail and three or four against the cunning tower, and the man that was behind him, the guard, would hit him over the head, give him a push through the line, and the rest of the Japanese there, they were holding pipes and bayonets down at their side, and they raised those to strike him, but he swung around and dove between two of them over the side of the sub into the water.

Q How long did you remain on the raft?

A We remained all that day, all that night, until the next afternoon. The H. M. S. Hoxa came out and picked us up.

Q After you were taken on board the Hoxa did you meet any more survivors of the Jean Nicolet?

A Altogether there were twenty-four of us that survived, but I met two of the men on there. There was the First Assistant Engineer; he had his head split open right across the top.

Q Was he one of those men who had been led aft the cunning tower on the submarine?

A Yes, he ran -- he ran all the way through the

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gauntlet, and they threw him into the sea.

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What was his name?

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His first name I can't remember. Pyle was his last name. He was First Assistant Engineer.

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What was his physical condition at the time you talked to him?

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He had his head split open from ear to ear, and he stayed in the hospital for a week on the Maldive Islands, and then was taking treatment when

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we hit Ceylon for about a month before he went home.

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He was also hospitalized back in the United States.

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While you were on board the Hoxa did you talk to any other survivors that had been led aft

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the cunning tower on the submarine?

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A Yes, there was another man. He was Sesman 1/c Butler of the gun crew.

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What did he tell you about his experience?

of them, and someone had swung -- it was either a

pipe or bayonet or some other sharp instrument. He

ducked, and it hit him above the eye, just above his

left eye. I don't know how he got off the submarine,

but he was hospitalized on Maldive Islands for about

a week, and he had a patch on his eye for a long

Well, he was led back the same as the rest

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Q Of the one hundred passengers in the crew of the S. S. Jean Nicolet, how many were led aft the cunning tower on the submarine? I would say approximately sixty. How many of these men survived? Three. What were their names? There was Pyle, Butler, and Lee. Approximately how many men from the Nicolet were on the deck of the submarine when it submerged? Approximately thirty-five. A How many of these survived? Twenty-one. Where did the Hoxa take you to? The Hoxa picked us up and took us into Addu Atoll in the Maldive Islands. What happened there?

A Well, I was about the only one that wasn't put in the hospital; and a day or so later the island next to us was occupied by the Royal Canadian Air Force. A day or two after we arrived all the pilots and crew for these three or four planes that had stationed there came over and got all of us together, and they questioned us on armanent of the submarine, speed, how long it took it to get under

water from the time she started her dive, and her weak points, where to attack her from if they spotted her. Well, the carpenter and myself, we were -- he was out of the hospital by then; so they asked us if we would like to come over and spend the day on their island.

THE PRESIDENT: We will recess for fifteen minutes.

(Whereupon, at 1045, a recess was taken until 1100, after which the proceedings were resumed as follows:)

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MARSHAL OF THE COURT: The Tribunal is now resumed.

THE PRESIDENT: Commander Cole.
BY COMMANDER COLE (Continued):

Q What did the Canadian Air Force pilots tell you that they had seen at the time of the -- or after the torpedoing of the Jean Nicolet?

A Well, they told us that they had seen a few fellows in the water who were dead, and they also spotted a man that was floating on his back with his hands crossed across his chest, and they made a big circle -- they said they made a circle to get in lower to drop the man a life preserver, and when they got back the man -- either part of his head or his head was gone. Then they spotted another man swimming in the water. When they got back to the second man part of his leg was gone.

Q Lid they see any sharks at that time?

A Well, there was sharks around all of us, within a few feet. But we just keep moving; they didn't bother us.

Q When did you leave Addu Atoll?

A We left Addu Atoll about a week after our arrival on the H.M.S. Sunavadi for Ceylon; Colombo, Ceylon. When we arrived there, there was a coastguard

commander, a naval commander of Intelligence, and a British commander.

Q Before you arrived at Colombo, what happened on board the Sunavadi?

A Well, while the planes were looking for us they had spotted some survivors in other boats and they had also told them to hold their position. So I think the Sunavadi had gone out and picked them up. And on board -- there was a British ship there that had been torpedoed -- were an Indian crew, British officers. They were headed towards Fremantle, Australia.

Q While on board the Sunavadi, did you talk to any of the survivors of this British ship?

A Yes, I talked to the chief mate. He told me they had three women on board. One woman had two children with her. One child she was carrying, and the other one was just walking. The mate told me when they were torpedoed he was in -- in the lifeboat he was in there was a chief petty officer of the United States Navy, which I slept next to in Ceylon. Also one of the women was in their boat, and the other two women were in another boat. And after the torpedoing the sub came to the surface, pulled alongside the second lifeboat, and took the two women out, the two

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two children and the Indian boatswain. They took the babies and threw them over the side into the water. They took the two women below in the submarine. They questioned the boatswain, they beat him, threw him into the water, and he swam back to his lifeboat and the sub submerged.

Q After you reached Colombo, Caylon, did you talk to any other survivors of this British ship?

A Yes, in the billet I stayed in the chief petty officer slept in the bunk next to me and we stayed there for about a month until he shoved off.

Q What did this chief petty officer tell you about the torpedoing of the British ship?

A He told me exactly what the chief mate had told me.

Q While you were at Colombo, Ceylon, were you interrogated as to the submarine which torpedoed the SS Jean Nicolet?

A Yes. There was a Lieutenant Commander
Ritchie, of the coastguard, and another lieutenant
commander of Navy Intelligence; I can't rerember his
name. They kept all of us survivors and questioned
us for two days, and the description that we gave of
the submarine, they said it was undoubtedly a Germanbuilt submarine, and they also said that some of the

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submarines operating in that area were German captain, 1 or German officers and captain and Japanese crew. 2 COMMANDER COLE: That concludes the examination 3 in chief of this witness. 4 MR. LOGAN: If the Tribunal please. 5 THE PRESIDENT: Mr. Logan. 6 7 CROSS-EXAMINATION 8 BY MR. LOGAN: 9 Q How long had you been going to sea before you 10 got on the Jean Nicolet? 11 I had been in the merchant marine approximately 12 thirteen months. 13 Q And you know that this Jean Nicolet was a 14 vessel owned and operated by the United States of 15 America through the War Shipping Administration? 16 A Yes. 17 And you were employed by the United States 18 Government as an able-bodied seaman? 19 I was employed by the Oliver J. Olsen Steam-20 ship Company. 21 Q You signed shipping articles, didn't you?

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Yes.

government.

O You read the shipping articles before you signed them?

A All the shipping articles are just about the same.

O No. I asked you if you read them.

A No.

Q Isn't t a fact that those shipping articles you signed stated you were employed by the United States of America under the War Shipping Administration and that the Olsen Steamship Company was merely an operating agent?

THE PRESIDENT: He said he didn't read them, Mr. Logan, What is the point anyhow?

MR. LOGAN: Trying to find out if this boat was operated privately or by the United States

THE PRESIDENT: How does it help?

MR. LOGAN: I think I'll bring that out in a little while, your Honor.

THE PRESIDENT: The things he deposes to, if true -- and that is a matter to be determined -- could not be justified by the difference between the United States government and the United States ship owners.

MR. LOGAN; Is the question disallowed,

your Honor?

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THE PRESIDENT: No objection is taken, but.

I do want to know what the significance of the question is. We want to be guided by you.

MR. LOGAN: Well, we will pass it, your Honor.

- O This Jean Nicolet was an armed merchantman?
- A Yes.
- O How many of a gun crew did you have on board?
- A Twenty-eight, including the gunnery officer.
- Q How many boat crew?
- A I didn't get that question.
- O How many in the boat crew, the crew of the ship?
- A You mean -- that is -- around forty, fortyfive.
- O Were any of the passengers members of the armed forces?
- A I think there was four captains, one lieutenant and around eight or nine, maybe a dozen, army men.
 - O Was the Jean Nicolet sailing in convoy?
 - A. No.
- How many of the men on board were killed when these two torpedoes exploded in the vessel?

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There was no one killed in the torpedoing. There was one broken arm getting off the ship. Now, you testified one man being shot in the head three times when he went aboard the submarine. Did you actually see that? No. I stated I was behind the raft. A You just heard the shots. Is that it? Yes. A As a matter of fact, you don't know, of your own knowledge, whether he was shot or where he was shot? No, I didn't actually see him myself. A So that when you say he was shot in the head three times you are just guessing, aren't you? A All the men in that lifeboat saw him shot, and there was quite a few survivors out of that boat. O Didn't you testify that when you heard those shots that you and the rest of the members of that life boat were hanging on the opposite side in the water? I was on a lifeboat. The man who was shot -- I was on a life raft. The man who was shot

o When you went aboard the submarine did the

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Japanese tie your hands and the hands of everybody

was out of the first boat.

who went aboard, behind their backs? Yes. How large was this pipe with which this 4 Japanese struck you? About two feet -- two and one-half feet 6 long. O How thick was it? I didn't see it that close. I just felt it. 9 You testified he struck you over the head 10 with it. Were you knocked unconscious? 11 I was knocked over forward on my face. I 12 wasn't knocked unconscious. 13 O You testified just now that you didn't see it, you didn't know how thick it was, so how do you 15 know it was a pipe or how do you know how long it 16 was? 17 I saw it when he sat down to talk to us, 18 sat in front of us. 19 How thick was it at that time? 20 A He was holding it across his lap. 21 Did you see it or didn't you see it? 22 Yes, I saw it. 23 How thick was it? 24

You know actually how many of the survivors

I can't say.

of the Jean Nicolet got on the submarine? 1 I think there was all but three. 2 And you say sixty of them were led aft? To the best of my knowledge I think that 4 is what there were. Around sixty. 5 6 Q Did you actually see what happened to any of these sixty when they were led aft? 8 No. 9 What time did you get off the submarine? 10 A As close as we could figure it was around 11 midnight. 12 0 When did you reach the Jean Nicolet? 13 Oh, I would say it was around seven or 14 eight the next morning. 15 This seaman, this one seaman concerning 16 whom you testified, you say he dove off the submarine 17 and he told you about it later? Fe was one of those 18 who went aft? Was that it? 19 Yes. That was Harold Lee. 20 Were his hands tied when he dove off? 21 A Yes. 22 O Didn't he get them loose later? 23 Either he got them loose or someone found 24

him and helped him get them loose.

Now, the cause of the submarine sinking,

submerging, rather, while you were still on the deck, was because this airplane was coming over. Is that right? Yes.

GOLDBERG

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In other words, it was a case of necessity Yes. 3 & 5 K 6. mony, isn't that so? A P 7 Yes. L E 8 U 9 10 11 and you don't know the number of those? 12 13 14 15 16 that ship? 17 18 19 L. No. 20 21 22 these islands and they were talking about this ship 23 being a German-built submarine? 24

intelligence told us that.

of the submarine sumberging at that particular time? Then, all this testimony you have been giving us about this other British ship is all hearsay testi-And your report of the number of survivors of the Jean Nicollet -- Isn't it quite possible that there were other survivors picked up by other ships I don't think that would be possible. airplane searched the area pretty thoroughly. Did you ever make any effort or did you ever see any final report on the number of survivors of There was only twenty-four I know of. Q Did you ever see any final report? Now, would you mind repeating for me what you said about this? You went into one of

That was at Colombo, Ceylon. It was navy

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Is that the U. S. Navy? 2 Yes. 3 And they told you that in their opinion 4 it was a German-built submarine and probably had a German captain aboard, is that right? 6 No, they told us it was a German-built submarine and that some of the submarines in that area were operating with German officers and German captains. And Japanese crew? Yes. So you don't know of your own knowledge whether this was a submarine owned and operated by the Japanese Navy or whether it was a submarine owned and operated by the German Navy, isn't that true? We only saw one officer and he was Japanese. All the rest of the men were Japanese. You don't know if there were any Germans below or not, do you? 4 No. So it is quite possible that this was a German submarine and not a Japanese submarine, isn't that so?

> No, she didn't have--THE PRESIDENT: We will have to form our

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own conclusions from what he has told us. MR. LOGAN: Did he answer the question? 2 THE WITNESS: Pardon me? 3 Isn't there a question unanswered there? 4 5 I don't remember. I didn't hear it, at 6 least. 7 (Whereupon, the last question and 8 statement by the President were read.) 9 THE PRESIDENT: Answer it, please. 10 THE WITNESS: There were no markings either 11 way. I didn't see any Germans; they were all Jap-12 anese. 13 BY MR. LOGAN (Continued): 14 Q Do you know how many men were in the crew of the submarine? 16 A No. 17 MR. LOGAN: Thank you. 18 That is all, your Honor. No further 19 cross-examination. 20 COMMANDER COLE: We ask that the witness 21 be excused from further attendance on the usual 22 terms. 23

THE PRESIDENT: We direct accordingly.

(Whereupen, the witness was excused.)

THE PRESIDENT: Captain Robinson.

nal, prosecution documents No. 8389 and No. 8390, streaments by other survivors of the attack followin; the sinking of the S. S. Jean Nicollet, prosecution documents No. 8393 and No. 8394, statements by survivors of the S. S. John A. Johnson, and prosecution document No. 8405, a formal certificate, are offered in evidence.

THE PRESIDENT: Admitted on the usual terms.

CLEAK OF THE COURT: Prosecution document
No. 8389 will be given exhibit No. 2087, document
No. 8390 will be given exhibit No. 2088,
document No. 8393 will be given exhibit No. 2089,
document No. 8394 will be given exhibit No. 2090,
and document 8485 will be given exhibit No. 2091.

(Whereupon, the documents referred to were marked prosecution's exhibits No. 2087, 2088, 2089, 2090, and 2091, respectively, and received in evidence.)

captain Robinson: From document 8389, exhibit 2087, brief excerpts are read, from pages 2 and 4, beginning on page 2, the first main paragraph. This is the affidavit of Charles E. Pyle,

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First Assistant Engineer of the Jean Nicollet.

(heading) "Shortly after he boarded our boat, the submarine made its appearance in the near vicinity, and the first questions that were directed to us from the submarine were in good English, although I was unable to determine who was uttering them. The first questions were concerning the name of the vessel and the whereabouts of the Captain or ship's officers, to which we answered the Jean Nicolet, but advised the Japanese that the ship's officers were still aboard the vessel. Orders were given to us to come aboard the submarine. They threw us a line and we maneuvered up to the side when they ordered us to come aboard. We started going aboard and a Japanese crewman of the submarine helped us over the railing alongside the conning tower. After all the survivors had gained the deck of the submarine, the Japanese proceeded to fire upon the life boat with machine guns in an attempt to sink the same, which was apparently accomplished, as I never saw it again. The Japanese immediately stripped us of all our possessions, with the exception of clothes. Each one of the twenth-five members of our boat, including Captain Nilsson of the

Nicolet, were bound with our hands tied behind our backs. We were then led back. During my entire stay aboard the submarine, I did not see any men that I assumed to be Japanese naval officers, but, instead, saw only Japanese crew members who were dressed in khaki shorts with a red patch upon their left sleeve, apparently designating their affiliation with the Japanese Imperial Navy, and later, when I was questioned at Colombo, I heard other members of my crew state that they saw a Japanese officer with a samurai sword aboard the submarine, but they did not know his rank.

"The first men to be bound was William Mauser, utility messman (phonetic), who was led forward and, for no apparent reason at all, was shot and shoved over the side and I watched his body float by me into the sea."

The next excerpt is from page 4, beginning at the top of the page, second line:

(Reading) "Somewhere around midnight, I was picked up and led aft, at which time I noticed the deck guns being secured and that thirty or thirty-five survivors of our vessel were still sitting on the submarine deck. I learned then that the

Japanese crew were employing a tactic somewhat similar to the old Indian practice of running the gauntlet wherein they force survivors to pass between two lines of men armed with clubs, bars and other taunt objects and, when reaching the end, being either shoved or knocked into the sea to drown. Apparently this process had been going on for sometime before I was called to take my turn and I estimate 'that approximately eight men on the inboard line and four or five on the outboard. When I momentarily stopped to survey the situation, I was struck a terrific blow at the base of my head which caused me to feel a sensation similar to a bouncing ball. From there on, I was shoved down through the two lines of Japanese who rained blows upon my body and head with various objects which I was too stunned and dazed to identify, although I was later advised by my doctor that I had been cut with a bayonet or sword in the process.

"When I reached the end of the gauntlet,
I fell into what appeared to me to be a white
foamy sea."

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CAPTAIN ROBINSON: From prosecution's document 8390, exhibit 2088, brief excerpts are read from pages 1, 3, and 4.

THE PRESIDENT: Captain Robinson, we notice that you are omitting to quote very material passages. We take it, of course, that all those marked parts are in evidence. They are the excerpts. You are not obliged to read all that appears in an excerpt nor is it desirable in many cases to do so, but you are omitting to read matters that were cross-examined about. Lord Patrick has pointed out to me you have not read that part where this witness or this deponent explains how he kept afloat although his hands were tied. I refer to Pyle's statement on page 4. You stopped at the words "foamy sea" in the second line of the second paragraph. The whole of page 4 is ir evidence; and it is desirable that you should read on and explain how this man, according to his testimony, kept afloat although his hands were tied.

CAPTAIN ROBINSON: Yes, your Honor. only difficulty is the translation section and if they can pick it up. Before cross-examination, of course, we made our arrangements with the translation section about what part would be translated

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Will the translation section translate concurrently the second section, page 4?

THE MONITOR: Yes, we can, sir.

THE PRESIDENT: You need not worry. He says that he kept afloat by treading the water.

Yes, proceed with the document you were reading when I interposed.

CAPTAIN ROBINSON: From document No. 8390, exhibit 2088, brief excerpts from pages 1, 3, and 4. At page 1 -- this is the affidavit of Robert Calvin Butler -- beginning at the third sentence or fourth:

(Reading): "On 24 April 1944 I reported to Lieutenant (jg) G. V. DEAL, U.S.N.R., Armed Guard Commander aboard the S. S. JEAN NICOLET, for duty as a member of the U. S. Navy Armed Guard crew of that vessel, which was at that time bertied at Oakland, California. On 20 April 1944 the JEAN NICOLET left Oakland and went to San Pedro, California, and after loading at Wilmington, California, departed on 12 May 1944, being routed independently to Colombo, Ceylon, via Fremantle, Australia. We arrived at Fremantle on 19 June 1944 and left there the next day of the day after. For about ten days nothing happened. Then on 1 July 1944 an empty lifeboat was sighted drifting by."

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Turning to page 3, slightly above the middle of the page, about 15 lines from the top:

(Reading): "As each boat or raft came alongside the Japs would ask for the Captain, but none of us would tell who the Captain was. Later on the First Mate revealed his identity and pointed out the Captain to the Japs. They took the two of them aft and we did not see either of them again. They could have taken them inside the sub but I do not think so. They also asked for all officers and merchant marine radioman. Some of the Army officers went also. I do not know what happened to them, they just left. I do not think there were any prisoners taken aboard the sub as I can't see what they would do with them, but no one was sitting where he could see the entrance to the conning tower or what went on the after deck. I did not see anyone killed, nor did I see anyone shot in the back or while in the water. We were faced forward and when they were strafing they did it aft with machine guns, and we thought they might be still firing at the boats. Some of the Japs spoke fairly good English and several wore sabers, and we judged them to be officers. One

man kept telling us that it was a fine battle. One of us asked a Jap what they were going to do with us, to which he replied that they would hit us in the head and throw us over the side, but he gave no reason for doing so."

On the next page, beginning at the top of the page:

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"After we were all sitting on the deck of the sub they started picking out men and taking them aft. I did not know what they were doing to them as I was sitting pretty far forward and could not hear anything that went on on the stern and was afraid to look back. Soon they came and got me and took me back aft where eight or ten Japs were lined up against the conning tower holding sabers, clubs, and lengths of lead pipe. One Jap stopped me and tried to kick me in the stomach. Another hit me over the head with an iron pipe. Another cut me over the eye with a saber. I managed to break away after I had gotten past the second one, and jumped overboard, and although I did not lose consciousness the sub was gone when I came up, but was still in sight. I did not see any other men run the gauntlet, but three that I know of went through it. I later found out that the sub submerged with about forty men on deck,

some of whom were saved. I started to drift towards
the ship and they then opened fire on her again.

I was afraid they might machine gun me if they saw
me, so I began to swim away from the ship."

The next document --

that, Mr. Justice Northcroft points out to me that on page 3 of the exhibit 2087, that is Pyle's statement, there is a reference to the Japanese commander.

You will see that reference in the second line of the second paragraph on page 3.

CAPTAIN ROBINSON: Would the Tribunal wish me to read that paragraph, sir?

THE PRESIDENT: Yes. It is part of an excerpt.

CAPTAIN ROBINSON: Document 8393, exhibit No. 2089, brief excerpts from pages 1 and 2, the affidavit of Charles H. Rhodes, first on page 1, second paragraph:

(Reading): "I sailed aboard the S. S.

JOHN A. JOHNSON from San Francisco, California, on

Wednesday, 25 October 1944, serving aboard that ship
as the Second Assistant Engineer.

"On the night of Sunday, 29 October 1944, the S. S. JOHN A. JOHNSON was torpedoed ..."

I pass on to page 2 -- no -- the bottom of that same page, four lines from the bottom.

"However, at a point when the submarine continued approximately amidship with respect to our boat and the group that were in the water, machine-gun fire was opened on us from a group of men who were standing along the starboard rail on the main deck of the submarine. The distance from the point where the fire was opened to those of us who were in the water is estimated by me to be approximately thirty feet. I was hit by a bullet in my left shoulder, the concussion of which caused severe pain to the entire left area of my body and has resulted in my hospitalization for treatment of the wound."

Dropping down about ten lines, in the next paragraph on page 2:

"I lay in the bottom of the boat in a position as if I had been killed and was dead, and again the bow of the submarine brushed the boat and when it had reached a point approximately amidship of the submarine with respect to our boat, a brilliant white light was placed on our boat. It is my belief that this light was used to take a picture. No guns were fired from the submarine at this time, although

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immediately after the light was removed, the submarine was turned hard port, obviously in an attempt
to involve our boat and as many men as possible in
the screws.

of the submarine were standing on the deck and gathered around in the conning tower and were yelling and laughing at our predicament. I personally saw five of the men from the JOHNSON who were wounded as a result of the gunfire from the submarine. I saw some of those men in the water and when taken aboard the U. S. S. ARGUS, which ship rescued all of the survivors. The submarine later disappeared since approximately at that time, planes appeared overhead."

The next document, 8394, exhibit No. 2090, I read brief excerpts from pages 1 and 2 of the document. At the bottom of page 1, five lines from the bottom -- this is the affidavit of James Dixon Pearson:

(Reading): "At this time, the submarine opened fire with one machine-gun indiscriminately at all persons and at the boat. I noted both machine-gun and pistol fire coming from the submarine and recall that the pistol was being fired by a figure

on a higher elevation than that from which the machine-gun was being fired. I also observed that the man who was firing the pistol was dressed differently from the other figures on the deck of the submarine and assumed that he was an officer.

"I heard at this time a great deal of what
I would term jabbering and which had a definite
Oriental sound, accompanied with considerable laughter
and occasional shouts of 'Banzai.'"

Dropping down five paragraphs, I read the paragraph second from the bottom on page 2:

"After some interval of time, I swam back to the lifeboat and was hauled aboard. After arriving on the boat, I noticed there were five who had been wounded by the gunfire of the submarine, and although I did not know I had been grazed by machine-gun bullets, as I discovered later, I was aware that they had been splattering all around me. I noticed some burns, but did not investigate the matter until the next day when I discovered that I had received three bullet burns, one across my stomach, one across my forearm, and one across the calf of my leg, which broke the skin."

THE PRESIDENT: Well, we have reached another stage, I take it. We will adjourn until

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half-past one.
                  (Whereupon, at 1200, a recess was
          taken.)
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The Tribunal met, pursuant to adjournment, at 1330.

MARSHAL OF THE COURT: The Tribunal is now resumed.

THE PRESIDENT: Captain Robinson.

CAPTAIN ROBINSON: Mr. President, Members of the Tribunal. Attacks were made also upon survivors of torpedoed British ships, prosecution's document No. 8400, a protest transmitted by the Swiss Minister from the British Government to the accused SHIGEMITSU, Japanese Foreign Minister, dated 5 June 1944, is offered in evidence.

THE PRESILENT: Admitted on the usual terms. CLERK OF THE COURT: Prosecution's document No. 8400 will receive exhibit No. 2092.

(Whereupon, the document above referred to was marked prosecution's exhibit No. 2092, and was received in evidence.) CAPTAIN ROBINSON: This document reads as follows: "5 June 1944. My Dear Minister,

"I have the honour of informing Your Excellency that the Government of His Britannie Majesty has asked me to hand to the Japanese Government the

following communication:

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"1º His Majesty's Government have received numerous reports from survivors of torpedoed merchant ships which make it plain that commanders and crews of certain Japanese submarines in Indian Ocean are acting in complete disregards of international law and of humanitarian principles recognised by all civilised states. The following are incidents concerning British ships upon which this most serious accusation is founded.

"20 SS 'Daisy Moller' was torpedoed and sunk at 21 hours G.M.T. on 13th December 1943 in position 160 21' north, 82013' east. Ship's boats containing survivors were rammed by a submarine identified as Japanese; survivors were afterwards fired on in boats and machine gunned in water.

"30 SS 'British Chivalry' was torpedoed and sunk at 5.30 hours G.M.T. on 22nd February 1944 in position 00 56' south, 680 east. Two boats and four rafts containing survivors were subjected to deliberate machine gun fire by a submarine identified as Japanese. Many were killed and one of the boats was sunk. The master of the ship was taken prisoner and compelled to watch machine gunning of his crew from the submarine.

"40 SS 'Sutley' was torpedoed and sunk at 18.35 hours G.M.T. on 26th February 1944 in position

following communication:

"1° His Majesty's Government have received numerous reports from survivors of torpedoed merchant ships which make it plain that commanders and crews of certain Japanese submarines in Indian Ocean are acting in complete disregards of international law and of humanitarian principles resognised by all civilised states. The following are incidents concerning British ships upon which this most serious accusation is founded.

"2° SS 'Daisy Moller' was torpedoed and sunk at 21 hours G.M.T. on 13th December 1943 in position 16° 21' north, 82°13' east. Ship's boats containing survivors were rammed by a submarine identified as Japanese; survivors were afterwards fired on in boats and machine gunned in water.

"3° SS 'British Chivalry' was torpedoed and sunk at 5.30 hours G.M.T. on 22nd February 1944 in position 0° 56' south, 68° east. Two boats and four rafts containing survivors were subjected to deliberate machine gun fire by a submarine identified as Japanese. Many were killed and one of the boats was sunk. The master of the ship was taken prisoner and compelled to watch machine gunning of his crew from the submarine.

"4° SS 'Sutley' was torpedoed and sunk at 18.35 hours G.M.T. on 26th February 1944 in position

80 south 700 east. A submarine identified as Japanese fired with small arms upon survivors clinging to rafts and to wreckage.

"5° SS 'Ascot' was torpedoed and sunk on 29th February 1944 approximately 800 miles 72° from Diego-Suarez. Ship's lifeboat was subsequently machine gunned by a submarine identified as Japanese and 44 out of 52 survivors were killed.

"6° SS 'Nancy Moller' was torpedoed and sunk at 8 hours G.M.T. on 18th Nat 1944 in position 2° 14' north 78° 25' east. A submarine identified as Japanese fired repeatedly on survivors killing a large number.

all British ships but His Majesty's Government have received reports of similar incidents involving ships of their allies. In particular survivors from the Dutch ship SS 'Tjisalak' were treated with utmost brutality and the incident has been made the subject of a protest by the Royal Netherland's Government; a number of British subjects were killed in a massacre committed by this Japanese submarine and His Majesty's Government accordingly associate themselves with the protest made by the Royal Netherland's Government.

"80 His Majesty's Government make the most emphatic protest against inhuman and criminal actions

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of Japanese submarine commanders and crews involved in above incidents and demand that Japanese Government, while issuing most immediate instructions to prevent their repetition, take disciplinary action against persons responsible. Number and circumstances of these incidents indicate that not one but several Japanese submarine commanders have violated in the most flagrant manner elementary humanitarian principles of maritime warfare. Signed The Swiss Minister.

"To His Excellency Mr. Mamoru SHIGEMITSU Minister for Foreign Affairs Tokyo."

Prosecution document No. 8397, a note from the Swiss Legation to the Japanese Foreign Minister, the accused SHIGEMITSU, dated 20 July 1944, is offered in evidence.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Prosecution's document

No. 8397 will receive exhibit No. 2093.

(Whereupon, the document above referred to was marked prosecution's exhibit No. 2093, and was received in evidence.)

CAPTAIN ROBINSON: This will not be read.

Prosecution documents are now offered in evidence as statements of survivors of the British ships as indicated, as listed in the foregoing British protest,

document 8400, exhibit No. 2092: Prosecution document No. 8380, SS Daisy Moller; Prosecution document No. 8381, SS British Chi-3 valry; Prosecution document No. 8382, MV Sutley; 5 Prosecution document No. 8383, SS Ascot; 6 Prosecution a ocument No. 8379, SS Nancy Moller. 7 Prosecution document No. 8388 is the statement 8 of a survivor of the Netherlands ship Tjisalak. 9 Prosecution document No. 8472 is a chart of 10 the sinkings. 11 12 These documents are offered in evidence. 13 THE PRESIDENT: Admitted on the usual terms. 14 CLERK OF THE COURT: Prosecution document No. 15 8380 will receive exhibit No. 2094; document No. 8381. 16 exhibit No. 2095; document No. 8382 exhibit No. 2096; 17 document No. 8383 exhibit No. 2097; document No. 8379 18 exhibit No. 2098; a ocument No. 8388 exhibit No. 2099; 19 and document No. 8472 exhibit No. 2100.

(Whereupon, the documents above referred to were marked as indicated by the clerk of the court and received in evidence.)

CAPTAIN ROBINSON: Excerpts from these documents are now read. Document No. 8380, exhibit No. 2094, reads as follows:

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"Statement of R. J. Weeks, Master, SS Daisy Moller, sunk 14 December 1943.

"On O420 December 14th, the vessel was struck on the starboard side between No. 1 and 2 holds by a torpedo fired at the vessel without warning. Vessel's position at the time of the attack being 1950, 16 miles, Sacremento Shoal Light House. Vessel immediately started to list and sink by the head. I ordered all boats to be lowered. The starboard forward boat was smashed in lowering and I took the double boat's complement into the port forward boat. As far as I could ascertain every one got away from the vessel, which sank 3 minutes after I left her. As the vessel sank the submarine appeared about 100 yards to the North of where the vessel sank. The sub approached my boat after firing a tracer bullet at us. No words were passed and the submarine turned away, but approximately three minutes later rammed my boat at an approximate speed of 16 knots, opening fire with machine guns directly after. I swam to a raft about 12 miles away. The submarine then rammed the other two boats and machine gunned the water over a large area. By this time 12 men were hanging on to the raft. At daylight we saw two other rafts with one man on one of them. After a struggle we got the three rafts together and I placed 4 men on each of the others,

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keeping 5 on my raft, one being R. Casson, a D.E.M.S. gunner who had a badly burnt and sprained foot. I advisec the others to rig a sail from the awning and keep close to my raft and we endeavored to make westing. At midnight December 17th we landed in the Krishna River delta and proceeded by various methods to Masulipatam arriving there at 1400 December 18th 1943. We left there at 1600 December 20th arriving Vizagapatam at 1400 December 21st, 1943. Total amount of survivors known being sixteen, ships complement being 69 crew and 2 passengers. I should like to give a word of praise for the D.E.M.S. ratings who were saved. Their behavior was excellent. Signed R. J. Weeks, Master."

Excerpts will now be read from document 8381, exhibit No. 2095, at page 2, beginning with the second paragraph. This is the 'British Chivalry' log from 22 February 1944:

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"After sinking the vessel the submarine then opened fire on the two lifeboats with light machine gun fire. A white flag of truce was displayed from the Master's boat and machine gunning ceased. Attempts to signal by semaphore were made. The submarine closed 23 the boats and waved us alongside. It was noted that the submarine was manned by Japanese. They intimated that they required the Master to board her, which he did. The boats were then ordered to carry on and the submarine moved off.

"After the boats had been proceeding for about 5 minutes in company the submarine suddenly altered course and steered for them, and on passing heavy machine gunning of the boats commenced. Most of the crew dived into the water and some lay down inside the boats. The machine gunning lasted until about 2 p.m. when one boat containing radio equipment etc. had been sunk and the other left in a sinking condition. The sub made off in a southwesterly direction.

"Strenuous efforts were then made by the surviving members of the crew to bale out the remaining
boat, and at about 5 p.m. had been successfully made in
a condition to be of use. Rafts and survivors in the
water were then gathered together and a consultation
was held amongst the officers. Another roll call was
made and the names of those killed by machine gunning
were as follows:"

I will omit the names of those killed and on the next page the names of those wounded, and begin reading at the third paragraph from the bottom of page 3:

"At 11:30 p.m. on 23 February 1944, Able Seaman L. Morris, suffering from wounds as described above, lost his life by drowning. His wounds were such a character that he had been rendered insane and efforts were made by survivors on the raft to restrain him. He proved to be too violent to hold and during the struggling evaded the others, jumped overboard and disappeared from view before rescue could be effected.

"On February 25, Friday, the engine rendered useless by seawater was dumped overboard and the occupants of the raft were transferred to the boat.

"The subsequent proceedings of this report were such as might be expected during a period of great hardship and suffering of 38 men cast adrift for 37 days in an overcrowded boat."

I will read no more from that document.

Document 8382, exhibit 2096: "Statement of P. H. Rees, Chief Engineer, M.V. Sutley, sunk 26 February 1944." I will read the first three sentences:

"Vessel left Aden on Tuesday, 15th February
1944, in convoy, bound for Australia. After being in
convoy for 5 days we split up and continued the passage
unescorted. Six days later (26 February) at 1820 hours
we were struck by a torpedo between Nos. 1 and 2 holds
on port side."

Dropping down slightly below the middle of the page:

"The submarine now appeared on the surfact and

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stopped our efforts to save more men (about 10 minutes after the sinking.) He came alongside and questioned as as to whether the master was amongst us, ship's name, destination and cargo. It was definitely a Japanese submarine, ocean-going type, mounting 6" gun and entiaircraft armament. He attempted to rem all rafts and machine gunned us at random. His aiming was poor. The exhausts of the submarine were sparking badly and showed up against the darkness very clearly. He appeared to have good speed and maneuverability."

I will read no more from that document.

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The next document, 8383, exhibit 2097, reads as follows:

"Report of attack of S.S. Ascot, sunk 29 February 1944.

bound for Diego Suarez, At 1205 (ship's time) gunners on watch sighted a torpedo close on the starboard beam, but before warning could be given, the torpedo struck the ship on the starboard side in the fore part of the engine room. The two starboard lifeboats were blown away, and as the ship appeared at first to be settling fast, the two port boats and a raft were got away. It is believed that four people, all engine and boiler room staff, were killed by first explosion. The remainder of the crew, believed 52, got safely away.

"The ship had by this time ceased to settle and ten minutes after abandoning a submarine was seen to surface about 2000 yards away from the ship, on the starboard quarter. The submarine circled the ship and commenced shelling her, firing about 7 rounds, but no effort was made to board.

"The submarine then approached the boats.

She was about 300 feet long with a high conning tower which had square glass windows in the fore part, there is some difference of opinion as to whether the gun,

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which was about 6" calibre, was forward or aft, but the weight of evidence tends to show that it was forward. It had a large 20 mm type gun fitted in a perspex blister in the side, and light machine-gun resembling a Bren, on top the conning tower. It was painted dark grey and was rusty and barnacled. There were no marks, but the survivors, on being shown photographs and silhouettes, all unhesitating picked out 1 - 121 - 124 of Jap submarine.

"The survivors stated that all the men on the deck of the submarine were Japanese, dressed in khaki shirts and slacks, and soft Japanese type peacked caps. They also state that there was a European in the conning tower, wearing a European type of Naval cap, with yellow or gold wings in front.

"A Japanese in broken English asked for the Captain, Chief Engineer and Radio Officer but nobody replied. A burst of machine-gun fire was then fired. The Captain then disclosed his identity and was ordered on board the submarine. The Japanese who had first asked for him, took the despatch case, the Captain was carrying from saying, 'So you don't speak English, you English swine." He then slashed the Master's hands across the palms with a knife and threw him into the water, where he was picked up by the lifeboat.

"Fire was then opened, with light machine gun in conning tower, on boats and raft, all the survivors jumped into the water to avoid being hit, 10 men around the raft were killed, also an unknown number in the boats. The submarine then left the boats and recommenced shelling the ship, which was by this time, blazing fiercely from stem to stern. About 30 rounds were fired.

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"The survivors at this time got back into the boats and raft, buried the dead, and one lifeboat took the raft in tow. At 1600 approximately ship's times, the submarine returned to the boats and commenced machine gunning. The men on the raft, with the exception of A. H. Richardson, DEMS, who was wounded in the thigh and was delirious, and Gunner Walker, Maritime Regt. who stayed to assist him, took to the water. Gunner Walker, with great courage, attempted to shield Richardson, and at the same time informed the men in the water as to the position of submarine to enable them to shelter behind the raft. Richardson was killed and alker was hit twice, once in the leg and once in the thigh. He did not inform his mates of his wounds until the following morning and though in considerable pain, behaved with fortitude until picked up. I submit this act of gallantry should be suitably rewarded.

"The submarine machine-gunned the survivors intermittently until dusk, then disappeared and was not seen again.

"There were 7 men left on the raft at this time, and they cleaned, as much as possible, the blood, etc. off the raft, and hoisted sail. The following morning, March 1st, a lifeboat was sighted, but was soon lost to sight. On March 2nd, the lifeboat was again sighted, and came alongside at noon. It contained A.B. Hughson, DEMS, and as it was badly damaged, he transferred to the raft taking the provisions with him.

Hughson was in the boat with the Master and a number of others. He states that the other lifeboat wes rammed and sunk by the submarine. After machine gunging the raft, the submarine approached the boat with the apparent intention of remming it. All the beats crew, with the exception of Hughson, took to the water, where they were machine gunned. Hughson lay in the bottom of the boat and the submarine took it in tow alongside, apparently to prevent anyone re-boarding it. A Japanese boarded the boat, Hughson feigned death and was not molested. After about 10 minutes the boat was cut adrift and the submarine then rammed it on the port quarter, damaging it. Hughson lay quiet all night,

and the following morning hoisted the foresail, and though the boat was waterlegged, sailed her through the day in a freshening wind and sea.

"The following morning, the weather moderated and he hoisted the mainsail, sighted the raft and made contact, as stated above.

"I consider that Hughson showed courage and fine seamanship.

"The survivors were subsequently picked up by M.V. Straat Soenda, at 1325, March 3, 1944, and brought to Aden.

Signed: "L. A. Seward, Lieutenant Commander, R.N.R., Staff Officer, Intelligence."

Document No. 8379, exhibit 2098, excerpts read as follows, the top line:

"Statement of S. K. Chu, 2nd Mate, S.S. Nancy Moller, sunk March 18, 1944."

The fourth paragraph to the conclusion of the document:

"She (the submarine) approached one of the rafts on which were Gunlayers Fryers, 2nd Engineer H. T. Shing, Fitter Wong and three Indians. They were ordered to board the sub. Fryers was taken down for examination, and the remaining five were made to kneel down towards the bow. The 2nd Engineer was shot twice

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with a revolver, and was kicked into the sea. As he wore no lifejacket he was soon drowned. Fitter Wong 3 received one shot, and as he was wearing his jacket, 4 he managed to struggle in the water and was finally picked up. The three Indians were merely driven into 6 the water without being shot, so they were all rescued later on. "Afterwards the Japs turned the portable machine 9 gun towards the other rafts and opened fire. As the 10 survivors were elert enough to hide their bodies under 11 water with hands grasping the becket lines, nobody was 12 known to be hit. The sub being satisfied that no life was left, drew away out of sight. 14 "Then we picked up and gathered together all 15 the survivors, amounting to 32, (4 British, 2 Chinese, Russian, 25 Indians). The ship originally had a crew of 65, so with the Gunlayer as a prisoner on the sub nother 32 persons (including the Captain lost their ives. (6 British, 5 Chinese and 21 Indians) 20 "The survivors drifted on the 4 rafts for our days, and were finally picked up on March 22nd, arly morning." Signed: "S. K. Chu, 2nd Mate, ex s/s Nancy oller."

Document No. 8388, exhibit 2099, will be

read, excerpts therefrom, as follows; the first ten or fifteen lines:

"Statement of F. deJong, Chief Officer, S.S.Tjisalak, sunk 26 March, 1944.

"We sailed on the 7th March 1944 from the port of Melbourne in Australia with a full cargo of flour, about 6640 tons. Destination was Colombo. The ship was loaded on her tropical mark and the draft upon departure was -- " I will omit the details here and start with:

"The crew consisted of 76 persons. Furthermore we had five first class passengers and 22 lascars as tweendeck passengers. Making a total of 103 persons on board. The 22 lascars being ex-crew of the M.S. Tjisadane on their way home after having been paid off from aforementioned ship. The first class passengers consisted of one American lady Mrs. Brittan. She was on the way to her husband who is living in Calcutta. Her husband is a Britisher and is apparently working for the Intelligence Service."

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We omit and read beginning at page No. 3, about the middle of the page -- just below the beginning of the middle paragraph:

"In the meantime the sub came closer and closer. From the conning tower they started to shout for the captain. When they asked a second time where the Captain was I saw the Master stand up in his boat and put up his hand. They ordered him to come alongside of the sub with his boat. He did so. The next thing we spotted was the Captain and the Europeans in his boat boarding the sub. The boat of the 2nd Off. started to get away more and more. With a few men rowing in my boat I tried to get a little away from the sub. But now they started to gesticulate and shout from the tower. They shouted to us to report. So we came alongside one by one with our boats. They told the Europeans to board the sub. I did not see anything of the Europeans of the Captain's boat when I stepped on her deck. I only saw somebody disappearing into one of the manholes on the foredeck. With the 3rd Eng. I was the first one to be pointed out to the fore deck. They told us to sit down there facing forward. We should in no case look back they told us. From all around they kept us covered. When I boarded the sub they took my knife away. I

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had my lifebelt on and luckily they forgot to take that away. My papers were packed in the inside of my lifebelt and they did not spot it. The foreship started to fill up as they were ordering now all the people out of the boats. Two Japs were making us stand by in front of us, one with a revolver and one with a coil of rope. Again and again they shouted from the tower, 'Do not look back, because that will be too bad for you.' I got the impression that there was little discipline. Everybody just pleased himself and they all tried to get as many souvenirs as possible. So they took watches, papers and knives. A little to the right before me was the 5th Eng. sitting. A little to the left and forward was the 3rd Eng. I got the impression that the Japs wanted to start all kinds of things at the same time. One was preparing himself to tie us up, another was fumbling with his revolver and so on. Most of our crew did not obey the order of not looking back. They continuously looked back. I warned them a few times to look forward. I thought it better not to irritate the Japs any more. I understood the end was there for all of us, and I told the Europeans Rear me. I told them to try to make the best of it. All of us sat down depressed. I felt pity for the 5th Engineer, as he was fighting,

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I could see, to keep himself under control, but he succeeded. It was a hard blow for him I thought. He had just escaped out of occupied Holland and he was very young. My own feelings were dumb. I had finished with life and I felt abnormally calm. I was surprised about myself, as I had grown a bit nervous after a whole winter on the North Atlantic with a few very bad experiences. During the time I was on board of the sub, I was very proud of every member of our crew, as I heard nobody screaming or begging for life. The Japs can take this as an example. There were a few fights going on behind us, but I do not know the exact facts as I did not look behind me. Waiting was long, At last the sub was getting under way and after clearing the wreckage headed on a course East. I know this because the sun was shining in my face. I guessed the speed at about 8 knots, but it could have been less. The other survivors thought it was less anyhow. I was thinking now about jumping overboard, but I was surrounded by Chinese and I thought I had only a very small chance. So I decided to stay and wait. What would happen now? Would they start to machinegun us from the tower? Would they tie us up and then dive? It was very difficult to guess. To wait all the time was unbearable.

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Luckily they started now. They called the 5th Eng. out and told him to start walking aft. When he was aft they shot him. Now it was my turn. One Jap was hanging on to my back when I walked aft. Maybe he wanted to pull off my lifebelt, maybe he wanted to prevent me from jumping overboard. Everywhere Japs were standing by with weapons. I realized that todive with my lifebelt on would be very difficult and my chance was nil as I could not keep myself under with same. Whenever I should come into the water I would be riddled with bullets and probably die slowly. As I had to die anyhow I preferred a sudden death. I walked on, along the tower and on aft. At about a distance of about 5 or 6 feet from the stern there was one Jap ready with his revolver. When I came alongside of him I stopped as I expected him to shoot me through the head. He pointed out to me however that I had to carry on. When I arrived at the very end of the deck, above the propellers I heard a bang and felt a terrific shock on my head and I toppled over into the water. The Japs tried to make a good job of it indeed, as they did it above the propellers. How I missed them I do not know. I must have been unconscious for a little while. When I came to I was in the water, with plenty of blood around me.

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I had plenty of trouble to breathe. Heavy sighing gave me just enough. I spotted the sub now at about a mile distant. After a couple of minutes I could breathe and think a little better already. I was very down. I was afraid they would find out on the sub that I was still alive and come to finish me off. To be executed once is pretty bad, but for a second time looked horrible to me. I inspected my head with my hand and found no hole in the bone. This gave me some new courage. I started to get hope again to live on. Now I decided to try everything in my power to save my life. I kept the submarine all the time in my sight and I saw her altering course a few times. Twice she passed me at close range and I nearly drowned by keeping my head under the surface. I could still see a group of persons on the foredeck of the sub. Now and then I heard a couple of shots. At the end I saw the sub disappear to the South." A final extract on page 8, at the bottom

of the page, about 6 lines from the bottom.

"After this case of our ship I think everybody will be impressed by the fact that it is impossible
to send ships unprotected anymore over the Indian
Ocean. We should have some protection so that the Japs
cannot repeat this slaughtering of shipwrecked people.

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There can be planes in any case. I have heard there is even a base for planes on the Chagos Islands, and also one on the Maldive Islands. If this is true the planes could cover a big part of the Indian Ocean. Even close to Australia and Colombo we never spotted any planes. I just wanted to put down our thoughts as I think it is better if the officials knew what we think."

The next document, 8472, exhibit No. 2100, is the chart on which is shown the location of the sinking of the ships, survivors of which I have just read statements upon.

Now, to take up the protests: Prosecution document No. 8395, a note from the Swiss Legation to the Jipanese Foreign Minister, dated 16 September 1944 is offered in evidence.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Prosecution document

No. 8395 will receive exhibit No. 2101.

'(Whereupon, the document above referred to was marked prosecution's exhibit No. 2101 and received in evidence.)

CAPTAIN ROBINSON: This document reads as

follows:

"September 16, 1944

There can be planes in any case. I have heard there is even a base for planes on the Chagos Islands, and also one on the Maldive Islands. If this is true the planes could cover a big part of the Indian Ocean. Even close to Australia and Colombo we never spotted any planes. I just wanted to put down our thoughts as I think it is better if the officials knew what we think."

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THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Prosecution document

No. 8395 will receive exhibit No. 2101.

'(Whereupon, the document above referred to was marked prosecution's exhibit No. 2101 and received in evidence.)

CAPTAIN ROBINSON: This document reads as

"September 16, 1944

follows:

"By letters of 5 and 20 June, the Swiss
Minister had the honour of transmitting to His
Excellency Mr. Mamoru *HIGEMITSU a protest of the
Government of the United Kingdom on the subject of the
attack on the survivors of British merchant vessels
by Japanese submarines. By the note of 19 July the
Legation sent to the Imperial Foreign Office some
complementary information about this matter.

"The Swiss Legation would be thankful to the Foreign Office if the Foreign Office would communicate the answer of the Imperial Government to the said protest.

"September 15, 1944

"To the Imperial Ministry of Foreign Affairs, Tokyo."

Prosecution document No. 8416, a note from the Swiss Minister to the accused SHIGEMITSU, Japanese Minister of Foreign Affairs, dated 28 November 1944, is offered in evidence.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Prosecution document

No. 8416 will receive exhibit No. 2102.

. (Whereupon, the document above referred to was marked prosecution's ex-

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hibit No. 2102 and received in evidence.)

CAPTAIN ROBINSON: A correction: This is from the Japanese to the Swiss it is observed. I will read the document:

"My dear Minister,

"I have the honor to acknowledge the receipt of Your Excellency's letters. No. GG.I.I.3-EGd and No. GG.I.I.3-EGc dated 5 and 20 June as well as the verbal note No. CC.1.8.0-EGc, of the Swiss Legation in Tokyo dated 19 of last July concerning a protest of the British Government which pretends that in the Indian Ocean some Japanese submarines torpedoes British merchant vessels and unlawfully attacked the survivors of the vessels.

"Concerning this matter I have had the competent authorities make strict investigations in each case indicated; and it is clear that Japanese submarine at least, had nothing to do with such facts as are mentioned in that protest. I have the honor to ask Your Excellency to forward this reply to the British Government.

"I take this opportunity," and so forth, dated 28 November 1944.

"From: Minister for Foreign Affairs, Mamoru SHIGEMITSU (Seal)

"To: His Excellency Camille Gorge, Envoy

Extraordinary and Minister Plenipotentiary from / Switzerland."

Prosecution document No. 8410, a letter from the Swiss Minister, transmitting from the Government of the United Kingdom a communication to the Japanese Foreign Minister, dated 19 May 1945 is offered in evidence.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Prosecution document

No. 8410 will receive exhibit No. 2103.

(Whereupon, the document above referred to was marked prosecution's exhibit No. 2103 and received in evidence.)

CAPTAIN ROBINSON: (Reading)

"Karuizawa, May 19, 1945

"Monsieur le Ministre,

"I have the honor of informing Your Excellency that I had not failed to communicate to my Government for forwarding to the Government of the United Kingdom the answer of the Imperial Government which His Excellency Mr. Mamoru SHIGEMITSU gave me on the subject of attacks against the survivors of British merchant vessels by Japanese submarines.

"The Government in London has just asked the Federal Authorities to send to the Imperial Government the following communication:

received through protecting power Imperial Japanese
Government's reply to their protest regarding inhuman
conduct of commanders and crews of certain Japanese
submarines towards crews of torpedoed British merchant
vessels. In this reply Imperial Japanese Government
deny all knowledge of the facts mentioned in H.M.
Government's communication.

entirely unacceptable. In all cases mentioned in H.M. Government's protest there were survivors who positively identified submarine concerned as Japanese.

Moreover in one instance the submarine was identified as belonging to the Japanese I class.

to draw attention of the Imperial Japanese Government to an operation order dated 20th March 1943 issued by Admiral commanding first Japanese Submarine Force.

Fifth paragraph of this order authorizes submarine commanders not to stop with sinking of allied ships and cargoes but at the same time to carry out complete destruction of allied crews except for such members as it may be desirable to apprehend with a view to securing intelligence. This order makes it clear beyond any possibility of doubt that the inhuman practices described in H. M. Government's protest are officially sanctioned and prescribed by high Authorities of Japanese Navy.

to draw most serious and urgent attention of the Imperial Japanese Government to these atrocities committed by Japanese submarine commanders and crews and sanctioned by Japanese Naval authorities against crews of British merchant vessels and to demand that such inhuman practices cease forthwith and that strictest disciplinary measures be taken against both individual commanders responsible and Naval Authorities who prescribed these

actions. "Please accept, Monsieur le Ministre, the assurances of my very high respect. "Swiss Minister."

CAPTAIN ROBINSON: Prosecution document 2 No. 8481, an official report of the killing of 3 survivors of the British ship, Behar, in the Indian Ocean, sworn to on 30 May 1946, is offered in evidence. THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Prosecution's document No. 8481 will receive exhibit No. 2104. (Whereupon, the document above referred to was marked prosecution's exhibit 9 No. 2104 and received in evidence.) 10 CAPTAIN ROBINSON: The excerpts to be read 11 appear at page two and three; at page two the second, third and fourth paragraphs: 13 "I was formerly a Captain in the Imperial 14 15 Japanese Navy. "I held the appointment of a Commander on 16 the Crusier Tone, Captain MAYUZUMI commanding, in 17 18 early 1944. "On 9 March 1944, S. S. Behar was sunk by 19 20 gunfire from Tone." Dropping down four paragraphs: 21 "Later in the day, after we had reported the 22 sinking of the Behar and the picking up of 115 sur-23 vivors, the Aoba signalled us to arrange for the immediate disposal of the prisoners with the exception of

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two or three. I told the Captain that such a course was inhuman and that I could not be a party to the execution especially in view of the fact that I had ordered the rescue of the survivors and saw to it that the order was carried out in spite of the high seas running. The Captain signalled the Aoba that the prisoners were still under investigation."

Omitting down to the close of the affidavit, the last three paragraphs preceding the final paragraph on page 3, beginning:

"On the evening of 18th of March, I was told by Captain MAYUZUMI that the execution of the prisoners had to be carried out that night at sea. I refused to be associated with the execution so the Captain issued orders direct to Lt. ISHIHARA.

"I cannot remember the names of the members of the execution party, but learnt that most of them were gunroom officers. Lt. TANI and a few other wardroom officers were in the party. I later heard Sub-Lieutenants TANAKA and OTSUKA boasting of their participation in the execution.

"As I was not a witness I could not describe the exact methods used but heard that the prisoners were knocked unconscious by a jab in the stomach, kicked in the testicles and beheaded."

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Prosecution document No. 548, a top secret Japanese naval order for submarine operations in the Indian Ocean, is offered in evidence.

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THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Prosecution's document

No. 548 will receive exhibit No. 2105.

(Whereupon, the document above referred to was marked prosecution's exhibit No. 2105 and received in evidence.)

captain Robinson: I should read at the top of page 2, if the language section can follow -- top of page 2: "JICPOA Item #5738 - Translation of Captured Japanese Document, Captured Kwajalein Atoll, Received JICPOA 19 February 1944 --

THE MONITOR: Just a minute, Captain, that part is not given. The Japanese translation starts from "Flagship Heian Maru at Truk." You see the other explanatory note about whose translation it is is not given in Japanese.

"Flagship Heian Maru at Truk, 20 March 1943 -- Military "Flagship Heian Maru at Truk, 20 March 1943 -- Military Ultra-Secret (Gunki), Copy 24 of 70 -- 1st Submarine
Force Secret Opord #2-43" -- the only extract that
needs to be read from this document is on page 4,
paragraph B, subparagraph 4, near the bottom of the

page which reads as follows:

"Do not stop with the sinking of enemy ships and cargoes; at the same time that you carry out the complete destruction of the crews of the enemy's ships, if possible, seize part of the crew and endeavor to secure information about the enemy."

No. 8479. The liaison between Japan and Germany in this policy of destruction in the Indian Ocean is indicated by the interrogation of the defendant OSHIMA, I February 1946, prosecution document No. 8479. The defendant OSHIMA has sent me a supplementary statement and I have told OSHIMA's counsel, Mr. Cunningham, that the statement is available for processing and use by them as they may desire but I should like to offer this document in evidence at this time.

THE PRESIDENT: Admitted on the usual terms.

MR. SHIMANOUCHI: Mr. President, I object to

the presentation of this document, prosecution document

No. 8479. I have two grounds for my objection.

First, I respectfully call the Tribunal's attention to lines 20 to 33 of page 6 of the English copy. This shows that when OSHIMA was interrogated by Captain Robinson his memory was not quite clar and Ibelieve it is shown that Captain Robinson also recognized that

OSHIMA's recollection was hazy.

Second, according to the memorandum presented by the defendant OSHIMA, we object to the presentation of this document until it is either given to us for our use or presented to the Court before its use by the prosecution. A correction on the last statement: I object to the presentation of this document as evidence unless the prosecution presents this memorandum from OSHIMA as evidence. The reason for this is that since OSHIMA wrote this memorandum, because his recollection was not clear it would be unfair to present document 8479 without presenting the memorandum.

THE PRESIDENT: The objection is overruled on both grounds. The first ground goes to weight only or value and not to admissibility. The second is a matter for the defense to establish when they give evidence.

The document is admitted on the usual terms.

CLERK OF THE COURT: Prosecution's document

No. 8479 will receive exhibit No. 2106.

(Whereupon, the document above referred to was marked prosecution's exhibit No. 2106 and received in evidence.)

CAPTAIN ROBINSON: This document reads in

part as follows, page 1, title, first three paragraphs: "Interrogation of General Hiroshi OSHIMA.

"Date and time: 1 February 1946.

"Questions by Captain Robinson:

"Q You had various conversations, General, with Ribbentrop in regard to the naval activities of Germany and Japan?

many matters, among which these that you refer to might have been included. However, actual Army matters and Navy matters were always handled by the respective attaches.

"Q Directing your attention to March 1943, what was said by you and by him in regard to the importance of the submarine warfare?

"A I do not know whether it was this meeting or not, but I do recall where he suggested that Japan institute submarine warfare as Germany had been doing, and in this regard they would be willing to let us have a new type German submarine.

"Q In fact they sent you two German submar-

"A Yes. The negotiations were done by me, but the details were handled by the Japanese Navy, and I believe that one of the submarines was sunk before arriving in Japan."

Turning to page 2, paragraphs 5 and 6:

"Q And he discussed with you the difficulty
of cutting down not only the supply of merchant ships,
but also of merchant sailors to man those ships, did
he not?

"A Yes, I definitely remember.

U-Boat order of September 1942, in regard to failing to rescue survivors of torpedoed merchant vessels?

"A Yes, I recall hearing that."

Turning to page 3, paragraphs 3, 4, and 5:

were to annihilate or destroy survivors?

*A I would not go so far as to say that, essentially it was that they would not rescue survivors.

policy for submarines? That would be merely ordinary practice, not to rescue survivors. The only place where his orders would be important and therefore subject to a special policy statement by Ribbentrop would be in the fact that these special orders differed from ordinary practice in that the special orders provided for the complete destruction of the survivors of the merchant vessel's crew and passengers. I want

the connection between this German order and the same order as followed by the Japanese.

"A I do think that the German order went so far as to say that. In fact, I naturally think so. The Japanese one, I do not know about. I believe that the Japanese would not put out such an order. And further, the newspapers have it that I favored this order, but that is not so. Of course, I did not tell Ribbentrop that he should stop doing this, as it wasn't any of my business, but I do say that I did not encourage it. Of course, as you know, this order was put out, but I believe that even among the Germans there were those who opposed it.

"Q And some who carried it out and executed it?

"A Admiral Gross, who was naval liaison officer to us, told me that he did not favor this order, as it was a blot on the honor of the Navy.

"Q Nevertheless, at the request of the Germans you did convey to the Japanese submarine authorities information of that operating policy, namely, complete destruction of personnel, as well as the ship?

"A I did tell it to the Naval Attache, "
but I have no recollection of having sent any despatches

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to Japan about this matter."
             Page 4, paragraphs 3, 4, 5, and 6 -- no,
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    the second paragraph, page 4, starting:
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"Q Did the Germans never ask you whether you had followed through or not?

"A No. Although you probably know more about it than I do, I do not believe Japan did anything about it.

"Q You know, do you not, that the United States State Department filed with the Japanese numerous protests because that precise policy was carried out against the crews of the United States merchant vessels?

"A No, I do not recall any protests on that matter, although I am aware that they protested many times about the treatment of prisoners of war.

"You never had any information following that date of the machine gunning of merchant seamen following the torpedoing by Japanese submarines of United States merchant ships?

"A No. I have not heard anything of the sort.

"Q And you did not know that an ultra-secret operating order was issued to Japanese submarines commanders on March 20, 1943, as well as on other dates, in which paragraphs b, item 4, provided, 'Do not stop with the sinking of enemy ships and cargoes. At the same time as you carry out the complete destruction of the crews of the ships,

seize part of the crew and endeavor to secure information about the enemy.'

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"A No. I do not know of the order, and further, if there had been such an all-secret order it would not have come into the hands of a civil official such as me.

"Q You do recognize in those words the same order of which you were informed by the Germans?

"A Yes, they are alike. I believe that if such an order had been issued by the Japanese Navy they would have done it independently, for, as you know, the Japanese Army and Navy are not in the habit of taking suggestions from outside sources, and if Japan had followed the German suggestion on this and put out such an order a communication should have come to me saying that they had done so, but I received no such communication. I have not heard of there having been any communication and if there had been it would have gone with the Naval Attache to pass on to the German Navy."

Page 5, the top four paragraphs and the bottom tro paragraphs:

"Q Do you know whether or not with these two submarines which Germany sent to Japan she also sent crews and specimen orders for use by the Japanese? "A No, I know nothing whatsoever about what happened subsequent to my talks, as it was all handled by the Navy.

"Q Did the Germans draw particular attention to the necessity of Japanese submarines operating in the Indian Ocean against merchant shipping?

"A The matter was not spoken of to me, but I do recall some talk of the German Nav. having spoken to Admiral NOMURA about this matter.

"Q Did you later learn of the sinking of the United States Liberty Ship Jean Nicolet on 2 July 1944, in the Indian Ocean by a Japanese I-class submarine, in which sinking these tactics were carried out?

"A I know nothing of it.

"Q Did not Ribbentrop or other Germans later comment to you on the fact that their request to you for such a submarine compaign appeared to be bearing fruit?

"A No. Further, I believe Japan was not very successful in her submarine warfare."

Dropping down to the bottom of the same page:

"Q Two questions and I am through: 1. By
'intensify submarine warfare' you include destruction
of survivors of the torpedoed vessels, do you not?

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"A No. I do not believe this would be included in intensification of submarine warfare. I am not a naval expert, but I do not believe these tactics should be used.

"Q What you believe and I believe does not make any difference. We have your statement of what the German proposal was, namely, the destruction of survivors of the crews. We have your statement that kibbentrop and others did tell you that part of their submarine warfare had adopted a policy of destroying crews of torpedoed vessels, is that not correct?

"A Yes, Ribbentrop did say that to me.

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"Q And further that you did convey that information to Japanese naval authorities?

"A I conveyed to the Naval representatives
the matter of the two submarines that they offered
to give us, and the request that we intensify submarine warfare, but whether I actually spoke to them
about this order that you are stressing I do not recall, as I never felt that the order was anything
that should be followed. In this regard I would
wish that you speak to either NOMURA or YOKOI to
see whether I did convey this to them or not."

Page 6, the bottom paragraph, to the end of

page 7:

"A I wish to explain this one fact; that in
December 1941, a joint military commission was set up
which decided matters of tactics and operations and
I had no hand in this. Further, if Ribbentrop or
someone like that told me something military, I
passed this matter on to this military commission which
went into details, and they are the ones who are responsible for the carrying out of the operations.

"Q What are their names?

"A These are the aforementioned NOMURA, YOKAI for the Navy, and Lt. Gen. BANZAI for the Army.

"Q The date of the appointment of this Commission?

"A About the middle of December 1941.

"Q And the place?

"A Berlin. All important matters were decided by them.

Who were the German members?

"A I am not sure, but I believe it was Field Marshal Keitel, and probably Admiral Doenitz."

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MR. SHIMANOUCHI: I especially call the attention of the Tribunal to the English text of the document just read, page 3, line 7 to 22, and page 5, line 25 to 37. In these parts OSHIMA states that he was against the murder of the ships' crews and also that he did not convey the suggestion of the German side to the Japanese Navy.

THE PRESIDENT: We know what he said, and there is no need for you to emphasize it.

CAPTAIN ROBINSON: May it please the Tribunal, this concludes the presentation of evidence of offenses against survivors of torpedoed ships.

It is now proposed to present evidence to show offenses committed against prisoners of war at Puerta Princessa, Palawan, Philippine Islands on 14 December 1944. This evidence will consist of the testimony of one of the survivors of the Palawan Massacre, documents consisting of affidavits of two of the survivors, and protests delivered to the defendant TOGO. Reference is made to two prosecution documents which have been introduced as exhibits, as follows:

Prosecution document No. 2869, exhibit
No. 1455, a summary of the testimony reported to
the Judge Advocate General of the United States on

this atrocity, was introduced on 12 December 1946 and is quoted in part at pages 12,669 to 12,672 of the record.

Prosecution document No. 10-V, exhibit No. 1485, a copy of the protest transmitted by the Swedish Legation to the Japanese Foreign Minister, dated 19 May 1945, based on the Palawan Massacre, was introduced on 13 December 1946 and is quoted in part, its first two paragraphs, at pages 12,81% to 12,817 of the record. The last two paragraphs of this protest read as follows:

The first two paragraphs, I take it, may be before the Court, the first part of the protest on Palawan, exhibit 1485, in which the State Department calls attention to the massacre of December 14, 1944 of one hundred fifty American prisoners of war at Puerta Princessa. I will not re-read those paragraphs unless the Court would care to have them repeated.

THE PRESIDENT: There is no need to repeat it.

CAPTAIN ROBINSON: At page 2 of this protest, parts not read into the transcript are the following paragraphs:

"About forty prisoners succeeded in escaping

from the compound by throwing themselves over a fifty-feet cliff onto the beach below. Landing barges patrolling the bay and sentries on the shore fired upon them. Many moaning in agony were buried alive by their captors. One, who had reached the water and struck out to sea, was recaptured and brought back to land where Japanese soldiers, prodding him with bayonets, forced him to walk along the beach. A Japanese guard poured gasoline upon the prisoners foot and set fire to it. Ignoring his entraties that he be shot the Japanese soldiers deliberately set fire to his other foot and to both his hands. They mocked and derided him in his suffering and then bayonetted him until he collapsed. Thereupon they poured gasoline over his body and watched the flames devour it.

"Such barbaric behaviour on the part of
the Japanese armed forces is an offence to all
civilized people. The Japanese Government cannot
escape responsibility for this crime. The United
States Government demands that appropriate punishment be inflicted on all those who directed or participated in it. It expects to receive from the
Japanese Government notification that such punishment has been inflicted. The United States Govern-

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ment further demands that the Japanese Government take such action as may be necessary to forestall the repetition of offenses of so heinous a nature and assure the United States Government that such outrages will not again be inflicted upon American prisoners of war in Japanese custody.

"GREW

(Acting)"

Prosecution document No. 8454, a protest and note with a declaration of delivery by the Swiss Legation to the defendant TOGO, dated 3 and 4 June, 1945. This protest, note and declaration are offered in evidence.

> THE PRESIDENT: Admitted on the usual terms. We will recess for fifteen minutes.

(Whereupon, at 1445, a recess was taken until 1500, after which the proceedings were resumed as follows:)

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MARSHAL OF THE COURT: The Tribunal is now resumed.

THE PRESIDENT: Captain Robinson.

CAPTAIN ROBINSON: Mr. President and Members of the Tribunal, I understood that document 8454 was admitted at the close of the last session, but I did not get the exhibit number assigned by the Court.

ment No. 8454 will receive exhibit No. 2107.

(Whereupon, the document above referred to was marked prosecution's exhibit No. 2107 and received in evidence.)

CAPTAIN ROBINSON: This document, page 1,

reads as follows:

"Legetion de Suisse

"Au Japon . . .

"Declaration

"The note" and so forth "dated June 3rd

1945, copy of which is hereto attached, containing
a notification of the United States government for
the Japanese government, as communicated to the
Legation of Switzerland in Tokyo by the Division for
Foreign Interests in Berne with their cable no. 423
and pertaining to the treatment of American prisoners
of war in Puerto Princesa, Palawan (Philippine Islands),

was handed over personally by the Minister of Switzerland, to H. E. Mr. Shigenori TOGO, Minister for Foreign Affairs, at his private home in Karuizawa, on Sunday, June 3rd 1945, at 3 p.m.

"Karuizawa, June 5th, 1945."

At page 2: "June 4, 1945

"Pursuant to its note of May 30th last concerning the massacre of 150 American prisoners of war at Puerto Princesa, Palawan, the Swiss Legation has the honour to inform the Imperial Ministry. of Foreign Affairs that the government of the USA has requested the federal authorities to present to the Imperial Government the following notification:

"'The United States government refers to its protest regarding the massacre of 150 prisoners of war at Puerto Princesa, Palawan, Philippine Islands and further charges the Japanese government in its administration of that camp that it has most shamefully violated its commitment to apply to American prisoners of war in its custody the humanitarian standards of the Geneva Prisoners of War Convention. During their incarceration in the camp, the prisoners were subjected to the following mistreatment. ""

I omit the nine paragraphs, down to the

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last paragraph on page 3, of the quoted portion.

"'The United States government repeats that it expects the Japanese government to impose upon those responsible for the maltreatment of American prisoners of war the punishment which their criminal behaviour merits and demands that the Japanese government take all necessary steps to compel its subjects

to fulfil the obligations assumed by it to accord humane treatment to prisoners of war. The United States government expects an early reply by the

Japanese government as to the action taken by it to punish the individuals responsible of the brutal acts described herein and the measures it has taken

to prevent similar acts of cruelty in camps in which American nationals are detained. "

Prosecution document No. 8457, a note from the Swiss Legation to the Japanese Foreign Minister, dated 7 June 1945, is offered in evidence.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Prosecution's docu-

ment No. 8457 will receive exhibit No. 2108.

(Whereupon, the document above referred to was marked prosecution's exhibit No. 2108 and received in evidence.)

CAPTAIN ROBINSON: This note reads as

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follows:

"Karuizawa 6 June 1945. . ."

3 "My dear Minister,

"The first of June I forwarded to you by
Mr. Bossi, Legation Attache at Tokyo, a notification from the government of the United States of
America addressed to the Imperial Government concerning the deaths of 150 American prisoners of war
at Puerto Princesa, Palawan.

"In the meantime, I have received a second notification from the government of the United States concerning the treatment of the prisoners of war in that camp at Puerto Princesa. As I was to meet Mr. TOGO Sunday, I took advantage of that opportunity to personally give him the second complaint of the government of the United States. I explained to him that I had sent the first protest to you."

"With my best regards, my dear Minister."

Addressed to His Excellency, Minister

Tadakazu SUZUKI, Imperial Ministry for Foreign

Affairs, and so forth.

The same announcement as made before is that the SUZUKI mentioned here is not the accused SUZUKI.

A note verbale from the Japanese Foreign
Ministry to the Swiss Legation, dated 5 July 1945,
acknowledged receipt of a communication dated 30
May 1945 and stated that a reply would be made after
an immediate investigation. This note is not
available in processed form at the present moment,
but is available for examination if desired.

May the witness Sergeant Douglas William
Bogue, United States Marine Corps, be called to the
witness stand?

DOUGLAS WILLIAM BOGUE, called as
a witness on behalf of the prosecution, having
first been duly sworn, testified as follows:
DIRECT EXAMINATION

BY CAPTAIN ROBINSON:

O State your name, rank and present station.

A Douglas William Bogue, Gunnery Sergeant, United States Marine Corps, now serving with F Company, Second Battalion, First Marines, First Marine Division, at Tientsin, China.

Q What is your age and your permanent home address?

A I am twenty-eight. I live at 2907 Estara Avenue, Los Angeles, California.

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How long have you been in the United States Marine Corps? Approximately eleven years. A Were you a prisoner of the Japanese? A Yes. During what -- when and where were you 6 captured ? A I was captured on Corregidor May 6, 1942, at the surrender of the Philippines. And when and where were you liberated? I escaped at Puerto Princesa, Palawan, in the Philippine Islands on the 14th December 1944.

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Q During what periods and at what places were you held a prisoner of the Japanese?

Corregidor, where I remained until approximately the 29th of May 1942, when I was taken to Manila from 29 May 1942 until approximately the 2d or 3d of June 1942. That includes a period en route from Corregidor to Manila; my few days in Manila before being transferred to Cabanatuan. I arrived at Cabanatuan on approximately the 5th of June, where we detrained; was taken to Camp No. 3 just outside of Cabanatuan about twenty kilometers, where I remained until approximately the end of July 1942, and was then sent to Puerto Princesa, Palawan. I arrived on the island of Palawan at Puerto Princesa approximately the 5th of August 1942. I remained at Puerto Princesa, Palawan, until 14 December 1944, on which date I escaped.

Q Were you wounded at any time prior to 14 December 1944?

A Not in combat.

Q Did you have occasion to observe the provisions for prisoners of war with respect to medical facilities as provided by the Japanese?

A Yes, I had a very good chance for direct observation.

Q At what places?

A At Corregidor, Cabanatuan, and Palawan.

Q Will you describe the situation at Corregidor?

A Troops that were wounded in combat on Corregidor after -- during and after the occupation of the Japanese were very fortunate if they received any medical treatment. By that I mean that those that were fortunate were those that were wounded during the actual fighting and were taken into the hospital.

Those who were not taken into the hospital but were just gathered in aid stations or their wounds were not of a serious enough nature to be taken into the hospital, like small shrapnel wounds or bullet wounds in the arm or in the leg, these men were rounded up along with the other prisoners and confined in the 92d Garage Area.

That was on Corregidor. The only aid that these men received was from the corps men or the medics who had their first aid packets with them, and some of the doctors who were in outlying aid stations with these meager supplies were able to render whatever aid they possibly could.

As to my knowledge, no Japanese supplies or American supplies confiscated by the Japanese were given these doctors to help the American wounded

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prisoners of war there.

Most of these men's wounds continued to get worse. Some, just through the men's own resistance, got better. And upon about two cays after the surrender on Corregidor, I myself became too weak to do much because I was overtaken by dysentery. And a Dr. Wade, who was a medical doctor of the United States Navy, he had an aid station set up in what was left of the 92d Garage. So I went to see Dr. Wade, and he told me that the only way I could receive any medical attention was to help carry some of the wounded, more seriously wounded, up to the hospital where they were allowing some of them to be admitted. By so doing, when I got up to the hospital I mentioned my condition to a United States Army doctor there who told me that he would give me an examination and see just how bad my condition was. Upon finding I had amoebic dysentery, I was turned in to the hospital where they told me that no Japanese troops were allowed to come in except those inspecting the hospital itself, and that no supplies other than those on hand were available.

While in this hospital, I seen the conditions of wounded men. They were fairly well taken care of there because of American medical supplies that they had on hand. But the hospital itself was overcrowded,

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very poor ventilation as it was in a tunnel, and the men -- there was a considerable high death rate. The food that was distributed there for the sick and wounded was of a very meager nature for -- since the Japanese had taken over the island.

Q Do you know of American requests being made to Japanese authorities for better medical facilities at that place and time?

A Prior to going to the hospital, Dr. Wade told me that he had made continual requests to the Japanese for medicines to stop the increase of dysentery and that he had received no response.

Q Passing to Cabanatuan, would you describe provisions there in the way of medical facilities for prisoners of war?

A When we arrived at Bilibid Prison in Manila -it had been used as a prisoner of war camp since Manila
was occupied by the Japanese, and they had somewhat of
a hospital or hospital area there. One of the buildings was used as a hospital there at Bilibid. I had
no chance to see this hospital in operation because I
was only there a couple days and we were rushed by train
from Manila to Cabanatuan. But some of these men that
were transferred with me to Cabanatuan were in such
a weak condition from re-attacks of malaria and

dysentery and due to the jammed quarters of these box cars and the fact that we weren't fed for twentyfour hours upon our arrival at Cabanatuan, when we were detrained there were two or three men who had died en route. I did not see these men's bodies removed from the box cars. I presume they was left right in there.

We were taken to a stockade by a school house in Cabanatuan where we were given a meager meal of dirty rice, no soup, and were left to lay or this ctockade with no shelter overnight in an intense rain. The next morning we were formedoon a road and marched twenty kilometers to Camp No. 3 outside of Cabanatuan which had been a Philippine constabulary training camp.

There were two men who were with me. One man, a Cornoral Wood of the Marine Corps, had received a shell fragment in his left shoulder and up to that time had received no medical attention, which caused the wound to abscess and become very inflamed; and, with this shoulder, he was still forced to make this twenty-kilometer hike to Camp No. 3.

At Palawan, Sergeant, what provisions were made for the American prisoners of war with respect, to medical facilities?

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furnished while at Palawan was quinine and some lotions for the feet due to the fact that very few shoes were available and the men's feet were corstantly breaking out with ulcers and sores; and as malaria was quite common there, quinine was furnished, and when asked why the Japanese were furnishing the quinine, the interpreter said that "We must get this work done so we must keep you prisoners well."

Was there a Japanese hospital there?

A One of the buildings had been taken over by the Japanese and made into a hospital with a large red cross painted on the top; but this was solely used for and by the Japanese.

Q What hospital facilities were provided for the American prisoners of war?

A There was a small room right at the entrance to the compound which was designated as the sick bay and dispensary.

Q With regard to doctors, were Japanese doctors provided or American doctors?

A Upon our arrival at Palawan we had two
American doctors, a Dr. Hickman and a Dr. Mango.

As Dr. Hickman was transferred from Palawan in September
1944, Dr. Mango remained.

Q Did he provide medical attention for the American prisoners of war?

A The two American doctors were the only -besides two or three medics, also American, were
the only source of medical attention available for
the Americans.

Q What was the work in which you were engaged at Palawan?

A Our work at Palawan was to build a military airstrip.

Q Of what did that work consist?

A It consisted of clearing cut the area on this Puerto Princesa peninsula there in intense jungle with no tools other than picks, shovels, mattocks, and a few trucks -- no other machinery or mechanized equipment. Our work would begin early in the morning until late in the evening. The jungle was -- well it was very dangerous to work in this jungle due to the fact that malaria was prevalent and we had no clothes -- did not have the proper clothes, food or shoes to protect us. The work was also very dangerous due to the fact that there was considerable number of trees on this area which had to be cut down; and there was several times when there was -- it was just by sheer luck that nobody

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was crushed to death from these falling trees.

out, we were forced to work in the open in a blazing hot sun from the morning -- from the time we went to work in the morning until the time we quit at night. Some days it all -- it would all depend on which commander was in charge, we would be allowed a five-minute break every hour, other times a fifteen-minute break, once in the morning and once in the afternoon. If at any other time a man tried to raise up for a few minutes rest, he was severely beaten by the Japanese guards.

We also received approximately one canteen of water for one day. The food consisted of approximately half a level American mess kit of rice with some watery -- salt watery green soup. After we had the field somewhat ready for planes, we were then -- we then started construction on defense measures for the field which consisted of building the revetments for the planes, dugouts and gun positions for the troops.

Q When did the bombing attacks begin at Palawan?

- A On October 19, 1944.
- Q Were prisoners assigned to work in connection

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with those attacks?

A I don't quite understand that question.

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Q The prisoner Stedham -- was there a prisoner there named Stedham

A Yes, sir.

Was he injured in the course of work assigned to him at Palawan?

A Yes, he was. Our work did not stop due to the American air raids at this point. We continued to work on the air field during the actual air raids and the interval between. It was during one of these raids when we were caught out on the air strip that Stedham was injured. He received a hit in the back of the head from either a bomb fragment or a rock, which caused a large gash across the base of his skull, completely paralyzing his body. He was brought into the compound, where Doctor Mango and a Japanese doctor looked over his wounds.

The Japanese doctor told Doctor Mango that he must do what he can, as the Japanese medical supplies were very meager and they were very sorry that they was unable to help; so Doctor Mango made his own tools outside of a pair of forceps and a scalpel, and by opening the wound, Stedkan's wound, and probing a little bit every day he was gradually

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getting feeling back to Stedham's body. During these treatments by Doctor Mango on Stedham no anesthetic was used.

Previously a Corporal McDole, of the United States Marine Corps, was stricken with appendicitis. After it was quite apparent to the Japanese doctor, who would check McDole every day to see if he was getting better or worse, that it was an acute attack of appendicitis, he told Doctor Mango that he must operate. Doctor Mango was very glad to hear this. They also permitted McDole to be taken to Iwahig, to be assisted by a Eilippino doctor who was there, but when the actual operation was about to be performed Doctor Mango discovered he must operate with one small light, which the electricity was furnished by a very small motor, one scalpel, and a few forceps that had not been used in quite sometime, and no anesthetic. Even with these handicaps Doctor Mango, after talking it over with McDole, agreed to proceed with the operation. The operation took approximately three and a half hours, and after the operation, that is, after the appendix had been removed, Doctor Mango was forced to use abaca fibre to sew up the incision. A few days later after this operation McDole was again returned to the compound

at Puerto Princesa. Doctor Mango performe three of these operations successfully.

Q With respect to disciplinary action, what did you observe on the part of the Japanese authorities?

was four american prisoners of war picked up on the road leading to Camp No. 3 by some Japanese guards in a truck. They were brought out on the road to Camp No. 3 and immediately tied so that -- with a pole passing under their knees and their arms interlocking it, so that they could neither sit nor lie down but could only remain in a squatted position. These men were told that they were being punished for trying to escape. As to whether they were trying to escape or not, I don't know their intentions. Whenever one of these men fell over from the squatting position, the Jap guard watching them would continually beat him until he managed to crawl back up on to his feet.

The American officers tried to find out from the Japanese camp commander exactly how long this torture must continue or what they intended to do with these four men. The Japanese commander replied that he had contacted manila for further

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orders as to their disposition. After two days of this torture they were marched out just behind the main camp area, visible to almost all men in the camp, where four very shallow graves had been dug. They were forced to stand in the ends of these graves, and shot. They were then covered up and the graves were left unmarked.

On Palawan four men had been caught supposedly talking to the natives and for having several cans of corned beef in their possession that the Japanese claimed had been stolen from the store room. These men were thrown into a cell and they were left without food or water for two days. Then, without trial, they were forced to hug a coconut tree, and while so doing, a Japanese would stand behind with with a wire whip approximately three feet long and severely lash him with all his strength across the center of the back. This lashing continued until the Japanese could swing no more. Another Japanese then stepped up with a pole approximately six feet in length and maybe two to three inches in diameter and severely beat the men across the buttocks until they too could swing no more.

THE PRESIDENT: Beat what men across the

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buttocks until they too could swing no more? THE WITNESS: These four men that had been accused of talking to the Filippinos and stealing corned beef from the Japanese store room. BY CAPTAIN ROBINSON (Continued): Who were these men? They were four of the American prisoners of war there. You witnessed the beating, did you? I did. THE PRESIDENT: We will adjourn now until half-past nine tomerrow morning. (Whereupon, at 1600 an adjournment was taken until Wednesday, 15 January 1947, at 0930.)